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Information Assurance Statement  
USSN 09/732,948  
February 12, 2001  
Page 3

Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4052 618408-0	U.S. SERIAL NO. 09/732,948
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT Michael Wray	
	FILING DATE December 7, 2000	GROUP <i>Am 2/134</i> Not Yet Assigned

February 12, 2001

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
<i>m</i>	5,218,637	6/8/93	Angebaut, et al.	—	—	
	5,907,621	5/25/99	Bachman, et al.			
	5,515,441	5/7/96	Faucher			
	5,958,050	9/28/99	Griffen, et al.			
	5,497,422	3/5/96	Tysen, et al.			

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	DOCUMENT NUMBER	ISSUE DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
<i>m</i>	0 328 232 A2	8/16/89	EP	—	—	
	0 402 083 A2	12/12/90	EP			
	0 503 765 A2	9/16/92	EP			
	0 586 022 A1	9/3/94	EP			
	0 651 533 A2	3/5/95	EP			
	0 969 366 A1	5/1/00	EP			
	2 323 757 A	9/30/98	GB			
	2 333 878 A	8/4/99	GB			
	11184818A	7/9/99	JP			
	11031129A	2/2/99	JP			
	00/08818 A1	2/17/00	WO			
	95/23468 A1	8/31/95	WO			
	96/02993 A2	2/1/96	WO			
<i>o</i>	98/38759 A2	9/3/98	WO			



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ny	Bray, Tim, et al., "Extensible Markup Language (XML) 1.0 Specification", Second Edition, W3C, available at <a href="http://www.w3.org/TR/REC-xml">http://www.w3.org/TR/REC-xml</a> , February 1998, pages 1-57
	Dierkes, T., et al., "The TLS Protocol, Version 1.0", IETF RFC2246, Network Working Group, January 1999, pages 1-67
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	Ellison, C., "SPKI Requirements", IETF RFC 2692, Network Working Group, September 1999, pages 1-14
	Ellison, C., "Simple Public Key Certificate", IETF draft draft-ietf-spki-cert-structure-05.txt, available at <a href="http://www.clark.net/pub/cme/spki.txt">http://www.clark.net/pub/cme/spki.txt</a> , March 13, 1998, pages 1-35
	Ellison, C., et al., "SPKI Certificate Theory", IETF RFC2693, Network Working Group, September 1999, pages 1-36
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	Farrell, S., et al., "Limited AttributeCertificate Acquisition Protocol", available at <a href="http://search.ietf.org/internet-drafts/draft-ietf-pkix-laap-00.txt">http://search.ietf.org/internet-drafts/draft-ietf-pkix-laap-00.txt</a> , Internet Engineering Task Force, PKIX Working Group, Internet Draft, published October 1999, pages 1-10
	Harkins, D., et al., "The Internet Key Exchange (IKE)", IETF RFC 2409, Network Working Group, November 1998, pages 1-34
	Hewlett-Packard Company, "e-Speak Architecture Specification", Version Beta 2.0, available at <a href="http://www.e-speak.hp.com/">http://www.e-speak.hp.com/</a> , September 1999, pages i-xvi, 1-200
	Kent, S., et al., "Security Architecture for the Internet Protocol", IETF RFC 2401, Network Working Group, November 1998, pages 1-66
	Merkow, Mark, "More Than A Language - XML Is A Security Tool Too!", Internet.com e-Commerce Guide, available at <a href="http://ecommerce.internet.com/outlook/print/0,,7761_124821,00.html">http://ecommerce.internet.com/outlook/print/0,,7761_124821,00.html</a> , May 13, 1999, pages 1-4
	National Institute of Standards and Technology, <i>Data Encryption Standard (DES)</i> , DRAFT FIPS PUB 46-3, U.S. Department of Commerce, available at <a href="http://www.ncsl.nist.gov/fips/">http://www.ncsl.nist.gov/fips/</a> , January 20, 1999, pages 1-20



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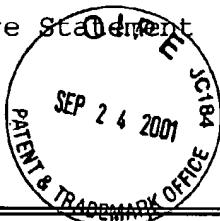
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N	National Institute of Standards and Technology, <i>Des Modes of Operation</i> , FIPS PUB 81, available at <a href="http://www.itl.nist.gov/fipspubs/">http://www.itl.nist.gov/fipspubs/</a> , December 2, 1980, pages 1-22
I	National Institute of Standards and Technology, <i>Secure Hash Standard</i> , FIPS PUB 180-1, available at <a href="http://www.itl.nist.gov/fipspubs/">http://www.itl.nist.gov/fipspubs/</a> , April 17, 1995, pages 1-16
I	Reagle, Jr., Joseph, editor, W3C Working Draft, "XML Signature Requirements", IETF, available at <a href="http://www.w3.org/TR/xmlsig-requirements">http://www.w3.org/TR/xmlsig-requirements</a> , October 14, 1999, pages 1-6
L	Rivest, R., "S-Expressions draft-rivest-sexp-00.txt", Network Working Group, available at <a href="http://theory.lcs.mit.edu/~rivest/sexp.txt">http://theory.lcs.mit.edu/~rivest/sexp.txt</a> , May 4, 1997, pages 1-11

EXAMINER	DATE CONSIDERED
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 September 19, 2001  
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Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4052 618408-0	U.S. SERIAL NO. 09/732,948
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT Michael Wray, et al.	
	FILING DATE December 7, 2000	GROUP 2161 2134

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
ny	5,923,842	07/13/99	Pedersen, et al.	395	188.01	03/06/97
j	5,819,044	10/06/98	Kawabe, et al.	395	200.56	09/27/95

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	ISSUE DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
ny	EP 0 989 501 A2	03/29/00	EP			
j	EP 0 820 176 A2	01/21/98	EP			
	JP 8110869	04/30/96	JP			

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


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Form PTO-1449 (Modified)

ATTY DOCKET NO.  
B-4055 618411-1  
Date: 12/7/00

U.S. SERIAL NO.  
Unknown

**LIST OF PATENTS AND  
PUBLICATIONS  
STATEMENT**

APPLICANT  
MICHAEL WRAY

U.S. FILING DATE:

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**REFERENCE DESIGNATION - U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
nw	5,907,621	5/25/99	Margaret A. Buchman, et al			

**FOREIGN PATENT DOCUMENTS**

Document Number	Date	COUNTRY	Class	Sub-	TRANSLATION
WO 98/38759	9/3/98	PCT			
JP 11184818A		JP			see abstract
JP 11031129A		JP			see abstract

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

nw	<p>Mark Merkow, "More Than A Language-XML is a Security Tool Too", 13 May 1999, Internet.com e-Commerce Guide, available from <a href="http://ecommerce.internet.com/outlook/print/0,,7761-124821,00.html">http://ecommerce.internet.com/outlook/print/0,,7761-124821,00.html</a></p> <p>Working Draft, 14 October 1999, W3C, editor Joseph Reagle Jr., "XML Signature Requirements"; available from <a href="http://www.w3.org/TR/xmlsig-requirements">http://www.w3.org/TR/xmlsig-requirements</a></p>
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EXAMINER	DATE CONSIDERED
<i>[Signature]</i>	9/21/07

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Form PTO-1449 (Modifi d)

ATTY DOCKET NO.

B-4054 618410-3

Date: 12/7/00

U.S. SERIAL NO.

Unknown

LIST OF PATENTS AND  
PUBLICATIONS  
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MICHAEL WRAY

U.S. FILING DATE:

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## REFERENCE DESIGNATION - U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	COUNTRY	Class	Sub-	TRANSLATION
h	GB 2333878A	8/04/99	UK			
L	GB 2323757A	9/30/98	UK			
L	WO 00/08818	2/17/00	PCT			

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July 15, 2004  
Page 3

Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4052 618408-0	U.S. SERIAL NO. 09/732,948
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Michael WRAY	
	FILING DATE December 7, 2000	2134 GROUP 2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FLING DATE or 102(e) DATE IF APPROPRIATE
hw	4,868,877	9/1989	Fischer	713	157	
	5,005,200	4/1991	Fischer	380	30	
	5,825,890	10/1998	Elgamal et al.	713	151	
	5,898,784	4/1999	Kirby et al.	713	153	
	5,940,591	8/1999	Boyle et al.	713	201	
	6,081,900	6/2000	Subramaniam et al.	713	201	
	6,094,437	7/2000	Loehndorf et al.	370	420	
	6,094,485	7/2000	Weinstein et al.	380	30	
	6,134,550	10/2000	Van Oorschot et al.	707	9	
	6,292,839	9/2001	Naudus et al.	709	238	
	6,377,691	4/2002	Swift et al.	380	277	
	6,574,224	6/2003	Brueckheimer et al.	370	395.6	
	6,591,306	7/2003	Redlich	709	245	
	6,643,701	11/2003	Aziz et al.	709	227	
	2002/0035635A1	3/2002	Holden et al.			

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DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
hw 94/03859	2/1994	WO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

hw	Menezes, A., et al. The Book of Applied Cryptography, CRC Press, pp. 572-576 (1997).
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EXAMINER 	DATE CONSIDERED 7/21/05
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